



August 31, 2007

Mr. Douglas J. Keenan
The Limehouse Cut
London E14 6N
ENGLAND

Dear Mr. Keenan:

I have received your email dated August 3, 2007. I am writing to inform you that the Division for Research has initiated an inquiry to your allegation of suspected misconduct on the part of Professor Wei-Chyung Wang for alleged fabrication of scientific data. The inquiry process will follow policy and procedures outlined in the enclosed *University at Albany Policy and Procedures on Misconduct in Research and Scholarship*.

The purpose of the inquiry is to make a preliminary evaluation of the available evidence and testimony of the respondent and key witnesses in order to determine whether there is sufficient evidence of possible misconduct to warrant an investigation. The purpose of the inquiry is *not* to reach a final conclusion about whether misconduct has occurred or who was responsible.

You are the complainant in this matter. The rights and responsibilities of the complainant are described in the *University at Albany Policy and Procedures on Misconduct in Research and Scholarship*, pages 2-3. The complainant must be treated as any other witness in the proceeding. The complainant is not a "party," neither controls nor directs the process, neither acts as a decision maker, nor has unqualified access to the available evidence. The complainant has an obligation to cooperate in the inquiry in order to ensure that the committee can thoroughly and competently evaluate the charges.

The provisions of the *University at Albany Policy and Procedures on Misconduct in Research and Scholarship* require the following:

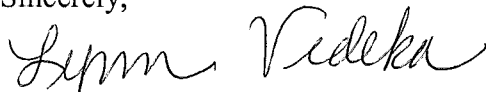
- ◆ The Vice President for Research (VPR) will make every effort to ensure your privacy and confidentiality as a complainant. The University will protect, to the maximum extent possible, the position and the reputation of those who in good faith report alleged misconduct in research. However, the identity of a complainant who provides testimony to inquiry or investigation committees cannot be withheld from the respondent.
- ◆ The VPR will work to ensure that complainants will not be retaliated against in the terms and conditions of their employment or other status at the University and will review instances of alleged retaliation for appropriate action.

- ◆ The VPR will prepare a draft inquiry report and will provide you a copy of those portions that address your role and opinions in the inquiry. You will have fourteen (14) calendar days from the transmission of the report to provide written comments to the VPR. Any written responses to the report will be made part of the report and record.

Consistent with U.S. law and University policy, the confidentiality of information regarding all affected individuals must be protected. All members of the Inquiry Committee and other participants in or advisors to the inquiry must observe confidentiality of the identities of the respondent and complainant, the allegation, the proceedings and any information and documents reviewed as a part thereof. Outside of official contexts, they must not discuss the matters with Dr. Wei-Chyung Wang, you, witnesses, CERS Committee members, or anyone not authorized by the VPR. The obligation of confidentiality pertains to you and Dr. Wei-Chyung Wang as well.

Adrienne Bonilla, J.D., Research Compliance Officer will staff the Committee. Please feel free to contact Ms. Bonilla (518-437-4564) or me (518-956-8170) if you have any questions regarding the process.

Sincerely,



Lynn Videka
Vice President for Research
Distinguished Service Professor

cc: J. Reilly
A. Bonilla

Attachment: University at Albany Policy and Procedures on Misconduct in Research
and Scholarship